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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*Nancy M. Lyons*  
Nancy M. Lyons

Applicant : Dean M. Ponzi et al.  
Application No. : 09/711,648  
Filed : November 13, 2000  
Title : INJECTION CATHETER WITH  
NEEDLE ELECTRODE

Grp./Div. : Not Yet Assigned  
Examiner : Not Yet Assigned

Docket No. : 37646/KMO/W112

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
December 15, 2000

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing references that are known to Applicant. Copies of most of the references are not enclosed because they are of record in the parent application, Application Serial No. 09/280,202, on which this application claims priority under 35 U.S.C. § 120. Copies of the listed references not of record in the parent application are enclosed. To the best of the undersigned's knowledge, this Statement is being filed before the mailing date of a first Office action on the merits.

Applicant also wishes to bring to the Examiner's attention the following copending applications, which are commonly assigned:

- (1) Application Serial No. 09/562,612, filed May 1, 2000 (W112:37643);
- (2) Application Serial No. 09/562,611, filed May 1, 2000 (W112:37644); and
- (3) Application Serial No. 09/563,769, filed May 1, 2000 (W112:37645).

It is respectfully requested that the references listed on the FORM PTO-1449 be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be

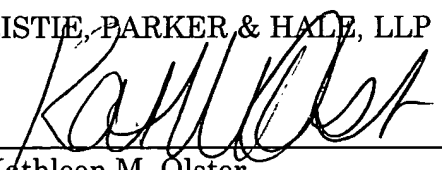
**Application No. 09/711,648**

entered in the application file and returned to Applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

  
Kathleen M. Olster

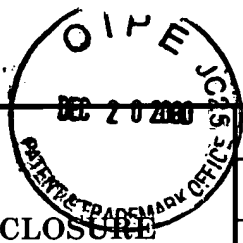
Reg. No. 42,052

626/795-9900

KMO/nml

Enclosures: PTO-1449, w/references

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## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	37646/KMO/W112
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Applicant(s)	Dean M. Ponzi et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,598,119	08/1971	White			
	4,578,061	03/1986	Lemelson			
	5,244,460	09/1993	Unger et al.			
	5,403,311	04/1995	Abele et al.			
	5,419,777	05/1995	Hofling			
	5,431,168	07/1995	Webster, Jr.			
	5,431,649	07/1995	Muller et al.	606	41	
	5,464,395	11/1995	Faxon et al.			
	5,522,815	06/1996	Durgin, Jr. et al.			
	5,588,432	12/1996	Crowley			
	5,661,133	08/1997	Leiden et al.			
	5,725,524	03/1998	Muller et al.	606	41	
	5,741,320	04/1998	Thornton et al.	607	122	
	5,797,870	08/1998	March et al.			
	5,810,804	09/1998	Gough et al.	606	41	
	5,833,715	11/1998	Vachon et al.	604	120	
	5,857,997	01/1999	Cimino et al.	604	95	
	5,876,340	03/1999	Tu et al.	600	439	
	5,891,138	04/1999	Tu et al.	606	41	
	5,906,613	05/1999	Muller et al.	606	41	
	5,921,982	7/1999	Lesh et al.	606	41	

EXAMINER  
SIGNATUREDATE  
CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Attorney Docket Number	37646/KMO/W112
Application Number	09/711,648
Filing Date	November 13, 2000
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Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS**

EXAMINER INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Marshall, D. J. et al., "Biocompatibility of Cardiovascular Gene Delivery Catheters with Adenovirus Vectors: An Important Determinant of the Efficiency of Cardiovascular Gene Transfer", Molecular Therapy, Vol. 1, No. 5, May, 2000, Part 1 of 2 Parts, pp. 423-429.

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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